



PATENT
040158

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit: 3624	:	
	:	
Examiner: Jagdish Patel	:	SYSTEM AND METHOD
	:	FOR ADMINISTERING
	:	PRINCIPAL PROTECTED
	:	EQUITY LINKED
	:	FINANCIAL INSTRUMENTS
In re Application of:	:	
Altomare et al.	:	
	:	
Serial No. 09/397,704	:	
	:	
Filed: September 16, 1999	:	

AMENDMENT / RESPONSE TO OFFICE ACTION

May 1, 2006

Mail Stop Amendment
Commissioner for Patents
Box 1450
Alexandria, VA 22313-1450

Dear Commissioner:

In view of the Office Action dated October 31, 2005, for the captioned patent application, applicants submit the present response, in which:

Amendments to the Claims begin on page 2.

Amendments to the Drawings begin on page 12 and include 28 sheets of replacement drawings.

Remarks begin on page 13.